



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/575,193	10/24/2006	Kunihiro Hattori	14875-160US1 C1-A0313P3-U	1979
26161	7590	06/23/2010	EXAMINER	
FISH & RICHARDSON PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			SZPERKA, MICHAEL EDWARD	
			ART UNIT	PAPER NUMBER
			1644	
			NOTIFICATION DATE	DELIVERY MODE
			06/23/2010	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com

Office Action Summary	Application No. 10/575,193	Applicant(s) HATTORI ET AL.	
	Examiner MICHAEL SZPERKA	Art Unit 1644	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 March 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 3, 4, 6-14, 16-29 is/are pending in the application.
- 4a) Of the above claim(s) 14, 17 and 19 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 3, 4, 6-13, 16, 18 and 20-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3/24/10</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Applicant's response and amendments received March 24, 2010 are acknowledged.

Claims 2, 5, and 15 have been canceled.

Claims 1, 3, 4, 6, 8, 16, 18, and 19 have been amended.

Claims 20-29 have been added.

Claims 1, 3, 4, 6-14, 16-29 are pending in the instant application.

Claims 14, 17, and 19 stand withdrawn from consideration as being drawn to a nonelected invention. See 37 CFR 1.142(b) and MPEP § 821.03, for reasons of record set forth in the restriction requirement mailed March 24, 2009.

Claims 1, 3, 4, 6-13, 16, 18, and 20-29 are under examination in this office action.

Information Disclosure Statement

2. The IDS form received 3/24/10 is acknowledged and has been considered.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. The rejection of claims 8-13 under 35 U.S.C. 112, first paragraph, has been withdrawn in view of applicant's claim amendments received March 24, 2010.

Specifically, the claimed compositions have been amended to recite bispecific antibodies that bind the enzyme factors IX, that bind the substrate factor X and that act as cofactor factor VIII in increasing the activity of factor IX which can be used for the treatment of bleeding disorders.

5. The rejection of claims 5 and 6 are rejected under 35 U.S.C. 112, first paragraph, has been withdrawn in view of applicant's claim amendments received March 24, 2010.

Specifically, applicant has canceled claim 5 and has amended claim 6 to recite the required level of structural information needed for a skilled artisan to make and use the claimed bispecific antibodies.

6. Claims 1-3, 4, 7-13, 16, and 18 stand rejected and newly presented claim 21 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claims contain subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention for the reasons of record.

The office action mailed September 24, 2009 states:

Applicant has claimed a broad genus of bispecific antibodies which have the ability to functionally substitute for a cofactor of an enzyme. The independent claim does not recite the identity of the enzyme, substrate or cofactor, while dependent claims indicate that the enzyme bound by the bispecific antibody is factor IX, that the substrate bound by the bispecific antibody is factor X, and that the bispecific antibody serves as a cofactor because it increases the enzymatic activity of factor IX, similar to the role played by factor VIII in vivo. The specification also asserts other enzyme/substrate/cofactor groupings that could be bound by bispecific antibodies on pages 13 and 14 of the specification, although the working examples deal only with bispecific antibodies which bind factors IX and X. These examples indicate that antibodies were made that bound factors IX and X, that such antibodies were humanized by CDR grafting into human frameworks (page 42) and that the separate antibody specificities were made into a bispecific antibody structure using art recognized techniques (pages 31-33). Note that in addition to binding two distinct antigens, the claimed bispecific antibodies are also required to comprise the functional property of enhancing enzymatic activity in lieu of a cofactor (i.e. "functionally substituting for a cofactor"). The instant claims either recite no specific structure (such as claim 1) for the claimed genus or recite partial structures, such as CDR sequences by SEQ ID number (claims 5 and 6).

The guidelines for the Examination of Patent Applications Under the 35 U.S.C. 112, § 1 "Written Description" Requirement make clear that if a claimed genus does not show actual reduction to practice for a representative number of species, then the Requirement may be alternatively met by reduction to drawings, or by disclosure of relevant, identifying characteristics, i.e., structure or other physical and or chemical properties, by functional characteristics coupled with a known or disclosed correlation between function and structure, or by a combination of such identifying characteristics, sufficient to show the applicant was in possession of the genus (Federal Register, Vol. 66, No. 4, pages 1099-1111, Fri. January 5, 2001, see especially page 1106 column 3).

In The Regents of the University of California v. Eli Lilly (43 USPQ2d 1398-1412) 19 F. 3d 1559, the court stated: "A definition by function, as we have previously indicated, does not suffice to define the genus because it is only an indication of what the gene does, rather than

Art Unit: 1644

what it is. See Fiers, 984 F.2d at 1169-71, 25 USPQ2d at 1605-06 (discussing Amgen). It is only a definition of a useful result rather than a definition of what achieves that result. Many such genes may achieve that result. The description requirement of the patent statute requires a description of an invention, not an indication of a result that one might achieve if one made that invention. See In re Wilder, 736 F.2d 1516, 1521, 222 USPQ 369, 372-73 (Fed. Cir. 1984) (affirming rejection because the specification does "little more than outlin[e] goals appellants hope the claimed invention achieves and the problems the invention will hopefully ameliorate."). Accordingly, naming a type of material generally known to exist, in the absence of knowledge as to what that material consists of, is not a description of that material."

The court has further stated that "Adequate written description requires a precise definition, such as by structure, formula, chemical name or physical properties, not a mere wish or plan for obtaining the claimed chemical invention." Id. at 1566, 43 USPQ2d at 1404 (quoting Fiers, 984 F.2d at 1171, 25 USPQ2d at 1606). Also see Enzo-Biochem v. Gen-Probe 01-1230 (CAFC 2002).

As discussed above, the independent claim is not limited to any specific enzyme/substrate/cofactor combination, and the claim requires the bispecific antibody to comprise the functional property of substituting for a cofactor. Such antibodies are not typical. For example, antibodies that bind FIX/FIXa and inhibit its coagulation activity have been described by many groups in the prior art (Bajaj et al., Bessos et al., Nilsson et al., and US patent 6,005,091) and thus it was surprising that the antibodies of Scheifflinger et al. (US patent 7,033,590, of record) increase the procoagulant activity of factor IX and display factor VIII-like activity. Thus it is clear that while antibody binding to antigen is necessary for cofactor activity, simple binding per se is not sufficient to supply cofactor activity. Thus the structure that is correlated with activity in the case of factor IX is the epitope of factor IX that when bound by an antibody increases its enzymatic activity. Thus antibodies that bind this epitope can either be described by reciting the sequence of the epitope within the primary sequence of factor IX that is bound, or by reciting sufficient structural portions of the antibody which will ensure binding to the required epitope. The epitope within factor IX bound by the antibodies of the working examples does not appear to have been mapped or disclosed, but applicant has recited partial structural information for species within the claimed genus of antibodies. Specifically, dependent claim 5 recites the CDR3 sequence of the heavy chain alone, while dependent claim 6 recites the 3 CDRs of the heavy chain without any recitation concerning the light chain.

It is well established in the art that the formation of an intact antigen-binding site requires the association of the complete heavy and light chain variable regions of a given antibody, each of which consists of three different complementarity determining regions, CDR1, 2 and 3, which provide the majority of the contact residues for the binding of the antibody to its target epitope. The amino acid sequences and conformations of each of the heavy and light chain CDRs are critical in maintaining the antigen binding specificity and affinity which is characteristic of the parent immunoglobulin (Janeway et al., see entire selection). It is also known that single amino acid changes in a CDR can abrogate the antigen binding function of an antibody (Rudikoff et al., see entire document, particularly the abstract and the middle of the left column of page 1982).

It is also known in the art that very different VH chains (about 50% homologous) can combine with the same V κ chain to produce antibody-binding sites with nearly the same size, shape, antigen specificity, and affinity. A similar phenomenon can also occur when different VH sequences combine with different V κ sequences to produce antibodies with very similar properties. These results indicate that divergent variable region sequences, both in and out of the complementarity-determining regions, can be folded to form similar binding site contours, which result in similar immunochemical characteristics (FUNDAMENTAL IMMUNOLOGY, William E. Paul, M.D. ed., 3d ed. 1993, page 242). It is also known that given one specified variable domain, either heavy or light, that skilled artisans can screen libraries to identify other variable domains that will pair with the starting variable domain and maintain antigen specificity (Portolano et al., see entire document, particularly figure 1). Thus, it is known in the art that artisans can

Art Unit: 1644

screen for other variable domains that will ensure a functional antibody of defined antigen specificity if a full variable domain (heavy or light) is used in the screening assay.

Since all CDRs contribute to binding, and binding can be disrupted in unpredictable ways due to mutations as small as a single point mutation such mutations being encompassed by the breadth of the claims due to the recitation of "a complementarity-determining region functionally equivalent thereto", applicant's claimed genus of antibodies wherein a single CDR is the only structural information recited in the claims does not provide a reasonable correlation between structure and the function of increasing enzymatic activity. Note that the instant specification provides no data indicating that the heavy chain CDR3 peptide alone is sufficient for antigen binding or augmentation of enzymatic activity, and thus the structure represented by the CDR3 sequences is not correlated with the recited functional properties. Further, using SEQ ID NO:40 of the instant invention as an example, the same sequence can be found in multiple other antibodies which differ in antigen specificity (see enclosed alignments). Thus it is clear that just the structure of the heavy chain CDR3 is not correlated with the functional properties of antigen binding and cofactor activity. The required amount of recited sequence is even less since the recitation of "sequences functionally equivalent thereto" allows for mutations, which are known to unpredictably influence binding as per Rudikoff et al. Note that even a recitation of all 3 CDRs of the heavy chain, as is currently found in claim 6, also does not satisfy the need for a correlation between structure and function since there is no data that the 3 heavy chain CDR sequences by themselves, either with or without framework regions (i.e. free peptides of a complete variable domain) comprise the recited activity of substituting for a cofactor without the addition of an appropriate light chain variable domain. Thus the structures disclosed by the specification which are correlated with the activity of increasing factor IX activity and thus behaving as a factor VIII-like cofactor are the sequences of the variable domains of the antibodies made in the working examples. Such structures are reasonably disclosed as either the complete sequence of both the heavy and light chain variable domains, or the sequences of the six CDRs (3 from the heavy chain and 3 from the light) which are found in said variable domains. Note that the specification does not provide a correlation of a structure with function for any enzyme/substrate/cofactor group excepting factor IX/X/VIII.

Therefore, it appears that applicant's claimed bispecific antibodies lack adequate written description because the breadth of the claimed genus is not supported by either a representative number of examples covering the breadth of the claimed subject matter or a disclosure of the epitope within an enzyme that when bound by an antibody gives rise to the functional property of increasing enzymatic activity. As such a skilled artisan would reasonably conclude that applicant was not in possession of the recited genus of bispecific antibodies at the time the application was filed.

Applicant's arguments filed March 24, 2010 have been fully considered but they are not persuasive. Applicant argues that antibodies, proteolytic enzymes and their substrates were known in the prior art, and that "Based on the teachings of the specification and the knowledge in the art at the time of filing, one of ordinary skill would recognize that antibodies could be prepared that bind to any one of these proteolytic factors and its respective substrate, and could substitute for a cofactor that enhances proteolysis of the substrate by the proteolytic factor." Applicant then argues that the specification discloses many possible enzyme/substrate/cofactor groupings, and that the "proof of principle" of the working example of the factors IX/X/VIII system place them

in possession of the entire claimed genus of bispecific antibodies.

This argument is not persuasive. As was stated in the rejection of record, antibodies which possess cofactor-like activity appear to be exceedingly rare. The instant specification provides no description of the structure bound by the recited antibody which is correlated with the recited functional activity for the working example (i.e. the epitope on factor IX that when bound makes factor IX act as if it has been bound by its cofactor, factor VIII) and no antibodies with cofactor-like activity are disclosed as having been made to any other enzyme/substrate/cofactor grouping. In fact, it appears that cofactor-like activity is not even present in the starting monospecific antibody since applicant has argued that the emergence of cofactor-like activity is "surprising and unexpected" and is thus evidence of non-obviousness of the claimed invention. If cofactor activity is actually unexpected (which is not unreasonable given the paucity of prior art antibodies which are known to actually display cofactor-like activity) why would an artisan expect that other antibodies to other enzymes would necessarily share the "unexpected" property? If it is truly unexpected, an artisan would not reasonably expect it to be present in antibodies which comprise CDRs of completely different structure which bind completely different antigens involved in completely different enzymatic pathways. Generalizing a functional property means that it is predictable and expected, the antithesis of an unexpected result. Given that applicant has not demonstrated that the "unexpected" property is actually present in other bispecific antibodies specific for different enzyme/substrate/cofactor groupings, an artisan would not reasonably expect such a property to be present in other antibodies. Note that antibodies which share the structure (variable domain sequences and CDRs responsible for antigen binding) of the working examples would be expected to comprise the "unexpected" function of cofactor activity because they share similar structure, and thus claims such as 6, 20, and 22-29 are not part of this rejection. The antibody of claim 21 is not required to share any structure with the antibodies of the working examples (it is required to compete with the antibodies of the working example for antigen binding) and since there is no shared structure there is no reason why an artisan would expect it to share the "unexpected" property of displaying cofactor-like

Art Unit: 1644

activity. Thus, in view of all of the above, an artisan would reasonably conclude that applicant was not in possession of the full breadth of the claimed invention at the time the instant application was filed.

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

8. The rejection of claim 15 has been rendered moot by applicant's cancelation of said claim as part of the amendments received March 24, 2010.

Claim Rejections - 35 USC § 101

9. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

10. The rejection of claim 15 has been rendered moot by applicant's cancelation of said claim as part of the amendments received March 24, 2010.

Claim Rejections - 35 USC § 103

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Art Unit: 1644

12. Claims 1, 3, 4 and 7-13 stand rejected and newly presented claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Scheifflinger et al. (US Patent 7,033,590, of record) in view of Paulus (US Patent 4,444,878, of record) for the reasons of record.

The office action mailed September 24, 2009 states:

Scheifflinger et al. disclose antibodies that bind factor IX and increase the procoagulant activity of factor IX (see entire document, particularly the abstract, column 2, and claims 1-22). These antibodies are disclosed as having FVIII cofactor-like activity, and were demonstrated to have this activity even in the presence of anti-FVIII inhibitory antibodies (see column 2 and examples 2-9, particularly example 7). The antibodies of Scheifflinger et al. are disclosed as being bispecific (see particularly lines 30-50 of column 7) and as being useful for treating multiple conditions associated with excessive bleeding, such as factor VIII inhibitor patients (see particularly columns 2 and 9). The antibodies are also disclosed as being present in therapeutic compositions in various physical forms (column 7). Scheifflinger et al. further disclose that blood coagulation is an enzymatic cascade pathway, that factor IX activates factor X, and that the end result of this pathway is the formation of a stable blood clot made of fibrin (see particularly columns 1 and 2). Note that activated factor IX activates factor X, and thus factor X is a substrate of factor IX. These teachings differ from the claimed invention in that the other antigen recognized by the bispecific antibodies of Scheifflinger et al. is not disclosed as being factor X.

Paulus discloses that bispecific antibodies are to be used as scaffolding to bring together enzymes that belong to the same enzymatic pathway to enhance the efficiency of the reaction pathway (see entire document, particularly column 5 and figures 4 and 5).

Thus, it would have been obvious to a person of ordinary skill in the art at the time the instant invention was made to make the bispecific antibodies of Scheifflinger et al. also target factor X since it was known that both enzymes operate in the same enzymatic pathway and since it was known that aggregating enzymes that belong to the same enzymatic pathway by way of bispecific antibodies increases the efficiency of the enzymatic pathway as disclosed by Paulus. A person of ordinary skill in the art would have a reasonable expectation of success in making such antibodies since methods of producing bispecific antibodies were known in the art at the time the invention was made as evidenced by the art cited by Scheifflinger et al. concerning the production of such molecules, the data presented by Paulus demonstrating that efficiency increases when enzymes that are part of the same pathway are joined by bispecific antibodies, and the demonstration by Scheifflinger et al. that their antibodies comprise FVIII-like cofactor activity.

Applicant's arguments filed March 24, 2010 have been fully considered but they are not persuasive. Applicant argues on two grounds, that the invention is not obvious, and that if the invention is obvious, applicant has unexpected results.

These arguments are not persuasive. With regards to non-obviousness, applicant argues that while Scheifflinger et al. disclose their antibody as being bispecific, they do not state that the other specificity should be anti-factor X and that there is no reason why an artisan would combine the anti-factor IX antibody of Scheifflinger et al. with anything else.

This is not persuasive because as stated in the rejection of record, Scheifflinger et al. disclose that factor IX is an enzyme involved in an enzymatic pathway and the art of Paulus discloses the use of antibodies to aggregate enzymes and substrates in the same pathway to increase the efficiency of the reaction.

Applicant argues that the teachings of Paulus are limited to enzymes which sequentially act on the same substrate, and thus there is no motivation to combine.

This argument is not persuasive. Applicant is reminded that the courts have repeatedly ruled that motivation to combine elements can be explicitly or implicitly stated in the prior art or come from common knowledge of an artisan or common sense, and that for patentability, improvements to or combinations of prior art elements must amount to more than the predictable use of the prior art elements according to their established functions. See *KSR Int'l Co. v. Teleflex, Inc.*, 2007. Indeed, the courts have stated "The test of obviousness is not express suggestion of the claimed invention in any or all of the references but rather what the references taken collectively would suggest to those of ordinary skill in the art presumed to be familiar with them." See *In re Rosselet*, 146 USPQ 183, 186 (CCPA 1965). "There is no requirement (under 35 USC 103(a)) that the prior art contain an express suggestion to combine known elements to achieve the claimed invention. Rather, the suggestion to combine may come from the prior art, as filtered through the knowledge of one skilled in the art." *Motorola, Inc. v. Interdigital Tech. Corp.*, 43 USPQ2d 1481, 1489 (Fed. Cir. 1997). As such, upon reading the disclosure of Paulus et al. it would have been obvious to an ordinary artisan that enzymes in the same pathway, whether they act sequentially on the "same substrate" as the term is defined in applicant's response or not could be aggregated together to increase the efficiency of the enzymatic reaction. As such, applicant's argument that there would be no motivation to colocalize factors IX and X is not persuasive.

Applicant has also argued that applicant has obtained surprising and unexpected results based on the statement that the monospecific antibodies of Scheifflinger et al. substitute for factor VIII while the monospecific anti-factor IX antibodies of the instant application comprise no such intrinsic cofactor activity. Thus it appears that cofactor

activity is an emergent property that is only seen by combining anti-factor IX and anti-factor X antibodies, neither of which comprise such activity in and of themselves. Applicant also argues that the bispecific antibodies of the present invention are more effective than the monospecific antibodies of Scheiflinger et al. at decreasing blood coagulation time.

These arguments are not persuasive. First, the claims do not recite that the starting monospecific antibodies lack cofactor activity and that cofactor activity is an emergent property of the bispecific antibody. Thus, applicant appears to be arguing a limitation which has not been claimed. Note that since the antibody of Scheiflinger et al. comprises cofactor activity, it is much more than reasonably expected that bispecific antibodies comprising the antibody of Scheiflinger et al. will also comprise cofactor activity. Further, the broadest claims (i.e. claims 1 and 2) are not limited to the factor VIII/IX/X system of the working example. Thus, if applicant's results truly are "surprising and unexpected" an ordinary artisan would not reasonably expect the same results when using other enzyme/cofactor systems. If the artisan would predict it to work, the result, by definition, is not unpredictable. Additionally, the "superior results" of the working example are not claim limitations and as discussed previously, are not reasonably applicable to or expected to be present in antibodies other than those which applicant has actually made (i.e. ones claimed by structure recited as SEQ ID number pairings). It is reasonable that antibodies with the same or shared structure will have the same or similar results (such as the antibodies recited in claims 6, 20, and 22-29 which are not part of this rejection), but antibodies which do not share such a structure would not reasonably be expected to share the same "unexpected" properties.

Newly added claim 21 has been added to this rejection. This claim recites that the claimed antibody must compete with an antibody recited by structure for binding to either factor IX or factor X at a certain level. Note that the antibody claimed in claim 21 is not required to actually comprise the recited sequences. Given that the antibodies rendered obvious by the combination of the teachings of Scheiflinger et al. and Paulus bind factors IX and X and have factor VIII-like cofactor activity, and given that the claimed antibodies bind the same antigens (factors IX and X) and have the same

Art Unit: 1644

activity (factor VIII-like cofactor activity) it is reasonable that the antibodies bind to similar epitopes and thus compete with one another for binding to one or both of the recited antigens. Therefore, the newly presented claim has been joined to the rejection of record.

13. Claim 16 stands rejected under 35 U.S.C. 103(a) as being unpatentable over Scheiflinger et al. (US Patent 7,033,590, of record) in view of Paulus (US Patent 4,444,878, of record) as applied to claims 1-4 and 7-13 above, and further in view of Zuk et al. (US Patent 4,208,479) for the reasons of record.

The office action mailed September 24, 2009 states:

The teachings of Scheiflinger et al. and Paulus have been discussed supra. These teachings differ from the instant claimed invention in that their bispecific antibodies are not disclosed as part of a kit.

Zuk et al. teach that providing reagents, such as antibodies, in kits offer the advantages of substantial convenience and enhanced accuracy when performing methods involving said reagents (see entire document, particularly from line 20 of column 22 to line 27 of column 23).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to place the bispecific antibodies rendered obvious by the combined teachings of Scheiflinger et al. and Paulus into a kit. Motivation to do so comes from the teachings of Zuk et al. that providing reagents in kit form provides the advantages of increased convenience and accuracy when performing immunological methods, such as the methods of treating hemophilia and other bleeding disorders disclosed by Scheiflinger et al.

Applicant's arguments filed March 24, 2010 have been fully considered but they are not persuasive. Applicant argues that the primary rejection under 35 USC 103(a) is not sustainable and that the addition of Zuk et al. does not cure the deficiencies of the primary rejection.

Applicant's arguments concerning Scheiflinger et al. in view of Paulus have been discussed above and were not found persuasive. Applicant has not argued any specific ground concerning the teachings of Zuk et al., and thus the rejection is maintained.

14. Claim 18 stands rejected under 35 U.S.C. 103(a) as being unpatentable over Scheiflinger et al. (US Patent 7,033,590, of record) in view of Paulus (US Patent 4,444,878, of record) and in view of Zuk et al. (US Patent 4,208,479) as applied to

Art Unit: 1644

claims 1-4, 7-13, and 16 above, and further in view of Lollar et al. (US patent 5,744,446) for the reasons of record.

The office action mailed September 24, 2009 states:

The inventions rendered obvious by the combined teachings of Scheifflinger et al., Paulus, and Zuk et al. have been discussed above and differ from the instant invention in that such kits are not disclosed as comprising factor VIII as an additional reagent.

Lollar et al. disclose recombinant factor VIII polypeptides and indicate that such polypeptides are to be used in methods of treating bleeding disorders such as hemophilia (see entire document, particularly the abstract, lines 20-25 of column 4, from line 50 of column 26 to line 15 of column 29, and claims 1-20).

Therefore it would have been obvious to a person of ordinary skill in the art to combine bispecific antibodies and factor VIII together into a kit because both reagents alone are useful for treating bleeding disorders, such as hemophilia. The courts have determined that "It is prima facie obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, in order to form a third composition to be used for the very same purpose.... [T]he idea of combining them flows logically from their having been individually taught in the prior art." *In re Kerkhoven*, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980). See MPEP 2144.06.

Applicant's arguments filed March 24, 2010 have been fully considered but they are not persuasive. Applicant argues that the rejection under 35 USC 103(a) is not sustainable and that the addition of Lollar et al. does not cure the deficiencies of the primary rejection.

Applicant's arguments concerning Scheifflinger et al. in view of Paulus and in view of Zuk et al. have been discussed above and were not found persuasive. Applicant has not argued any specific ground concerning the teachings of Lollar et al., and thus the rejection is maintained.

Double Patenting

15. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422

Art Unit: 1644

F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

16. Claims 1, 3-4, 6-13, 16, and 18 stand provisionally rejected and newly presented claims 20-29 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-8, 17, 19, 22, 25, 28, 29, 36, and 38 of copending Application No. 11/910,836. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the copending application anticipate the breadth of the instant claimed invention for the reasons of record.

The office action mailed September 24, 2009 states:

Specifically, the independent claim of the copending application is limited to a bispecific antibody that binds both blood factor IX and X, whereas the independent claim of the instant application is a bispecific antibody that binds an enzyme and a substrate, with FIX and FX binding appearing as dependent limitations. Both applications also claim compositions and kits comprising such antibodies, including kits that further comprise FVIII.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Applicant has not argued this rejection. As such, it appears that applicant agrees with the rejection and thus it has been maintained. Note that newly added claims 20-29 recite various permutations of the sequence information present in claims 5 and 6 as filed, and that the claims of the '836 application have not been amended since the prior office action.

17. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re*

Art Unit: 1644

Ockert, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

18. Claims 1-13, 15, 16, and 18 stand provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 23-35, 37, and 38 of copending Application No. 10/575,905 for the reasons of record.

The office action mailed September 24, 2009 states:

Specifically, instant claims 1-4 and copending claims 23-26 are word for word identical. Further, as evidenced by the enclosed sequence alignments, the same biological sequences are disclosed and claimed in the two applications. Further dependent claims also are of the same scope and wording. This is a provisional double patenting rejection since the conflicting claims have not in fact been patented.

Applicant has not argued this rejection. As such, it appears that applicant agrees with the rejection and thus it has been maintained. It should be noted that claim amendments were submitted in the '905 application on 4/16/10. However, no amendments were made to claims which recite coagulation factors (such as copending claims 23-26). Applicant is reminded that withdrawn is not the same as canceled in that withdrawn claims can always be rejoined to prosecution whereas canceled claims no longer exist.

19. The following is a new ground of rejection necessitated by applicant's claim amendments received March 24, 2010.

20. Claims 20-29 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 23-35, 37, and 38 of copending Application No. 10/575,905. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the copending application recite antibodies based upon SEQ ID numbers and function which are obvious variants and/or overlap in scope with the instant claimed antibodies.

Art Unit: 1644

As was stated above, the same biological sequences are disclosed and claimed in the instant and copending applications. While the instant claims require more of the recited SEQ ID numbers to be present than what is required in the copending claims, the amount of sequence information specified in the instant claims, such as 6 specified CDRs to define the structure of an antibody which binds an antigen rather than just one in the copending claims, is obvious since an antibody comprises 6 CDRs as was explained in greater detail as part of the rejections under 35 USC 112, first paragraph for enablement as was set forth in the prior office action of 9/24/09. Thus, the species of antibodies recited in the instant claim are obvious species in view of the genus of antibodies disclosed in the copending claims.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

21. No claims are allowable.

22. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Art Unit: 1644

23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL SZPERKA whose telephone number is (571)272-2934. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on 571-272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Michael Szperka, Ph.D.
Primary Examiner
Art Unit 1644

/Michael Szperka/
Primary Examiner, Art Unit 1644